



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Martin A Cheever and Mary L. Disis
 Application No. : 10/647,005
 Confirmation No. : 2062
 Filed : August 21, 2003
 For : IMMUNE REACTIVITY TO HER-2/neu PROTEIN FOR
 DIAGNOSIS AND TREATMENT OF MALIGNANCIES IN
 WHICH THE HER-2/neu ONCOGENE IS ASSOCIATED

Examiner : HOLLERAN, Anne L.
 Art Unit : 1643
 Docket No. : 920010.448C10
 Date : December 18, 2006

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner:

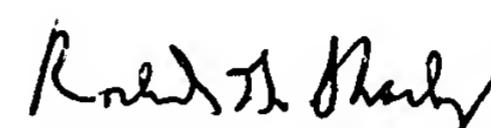
In accordance with 37 CFR 1.56 and 1.97 through 1.98, Applicants wish to make known to the U.S. Patent and Trademark Office the two references set forth on the attached Supplemental Information Disclosure Statement. A copy of each of the references is enclosed. As to any reference cited, Applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with Applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, Applicants believe that their invention is patentable.

A fee of \$180 is submitted in accordance with 37 CFR 1.97(c).

Kindly make the cited references of record in the above-identified application and return to the undersigned attorney a copy of the initialed Information Disclosure Statement.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC



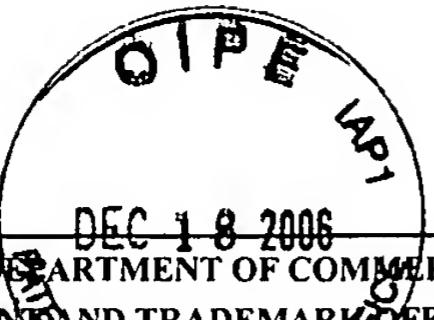
Richard G. Sharkey, Ph.D.
Registration No. 32,629

Customer No. 00500

Enclosures:

- Information Disclosure Statement
- Cited References (2)

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EXPRESS MAIL NO. EV887981725US

Sheet 1 of 1

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|---|----------------|-------------------------------------|-----------------|
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | ATTY. DOCKET NO. | APPLICATION NO. |
| | | 920010.448C10 | 10/647,005 |
| | | APPLICANTS | |
| | | Martin A. Cheever and Mary L. Disis | |
| FILING DATE | GROUP ART UNIT | | |
| August 21, 2003 | 1643 | | |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|----|-----------------|------|------|-------|----------|----------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|----|-----------------|------|---------|-------------|----|
| | | | | | YES | NO |
| | AG | | | | | |
| | AH | | | | | |
| | AI | | | | | |
| | AJ | | | | | |

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|----|--|--|
| AK | | Disis, M.L. et al., "Immunity to the HER-2/Neu Oncogenic Protein", <i>Ciba Foundation Symposium, Amsterdam, NL</i> , 187:198-211, 1994 |
| AL | | Disis, M.L. et al., "Immunization to Oncogenic HER-2/neu Protein With Peptide Based Vaccines", <i>Proc. Amer. Assn. for Cancer Research</i> 36:251(abstract 1498) March 1995 |
| AM | | |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

* EXAMINER: Initial if reference considered, whether or not criterion is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).